AUS 1 0 2000

INFORMATION DISCLOSURE STATEMENT PTO-1449

.

Atty. Docket No.: 000104 Serial No.: 09/48641

Applicants: Hiroshi YAMAZAKI et al.

Filing Date: February 25, 2000 Group Art Unit: 2766

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						* ************************************
	AB					·.	_
		F	OREIGN PA	ATENT DOCU	MENTS	•	REC
		Document No.		Date	Country		Translation V (Yes octo)
1/2	AC	WO 94/20912		9/15/94	PCT		yes 00
	LAD						

OTHER DOCUMENTS

-13	AE	"Derivative Software Simplifies Swaps" - Insurance Account, Vol. 4, No.15 (Apr. 18, 1994) pp. 4.
	AF	S. Schwartzman - "One Standard for One-Off Deals - Sanwa Bank Ltd. Uses Custom Software for Security Trading" - Wall Street & Technology, Vol. 11, No. 1 (July 1993) pp. 10.
	AG	T. Eggenschwiler - "ET++SwapsManager: Using Object Technology in the Financial Engineering Domain" - ACM Sigplan Notices, Vol. 27, No. 10 (Oct. 1992) pp 166-177.
	АН	Translated by S. Hon'ida - "Stratergy" - Tokyo: Softbank Corp. (Oct. 16, 1995).
	AI	Zhang et al "Financial Software Design Pattern" - Journal of Object-Oriented Programming, Vol. 8, No. 9 (Feb. 96), pp. 6-12.
	AJ	M. Benaroch - "Toward the Notion of a Knowledge Repository for Financial Risk Management" - IEEE Transactions on Knowledge and Data Engineering, Vol. 9, No. 1 (Jan-Feb. 1997) pp. 161-167.
Examiner	J	Date Considered 1/29 by